C-9 Spoil and Topsoil Management Plan

Aim and Objective

The purpose of C-9 Spoil and Topsoil Management Plan (STMP) is to describe the strategies for minimization of impacts of activities which involve the disturbance, movement and use of soils during construction works. The intention is to reuse as much material (topsoil, subsoil, spoil and rock) as possible in-situ rather than transporting, storing or disposing of it. The plan aims to:

- Retain topsoil for reuse in rehabilitation and revegetation of the site
- Minimise spoil removal and stockpiling
- Maximise beneficial reuse of spoil from the Project.

Summary of Impacts and Risks

Topsoil and spoil material will be generated from the following construction activities: widening and stabilizing existing access road (Lot 1); construction of new access roads (Lot 3); construction of headrace tunnel from dam to powerhouse; and construction of the dam itself. The volume of the excavated material is estimated to be 1,738,738 m³, of which 63,845 m³ is topsoil (Annex C-9-I). The current estimate of spoil far exceeds the volumes envisaged in the ESIA 2019. Key impacts and risks include:

• Land requirements: Spoil generated during construction, and not suitable for filling and embankments, or other reuse, will need to be disposed within Core Land. Steep site topography limits the placement of spoil to a limited number of sites within Core Land. There are also implications for biodiversity, as topsoil storage and spoil disposal can require additional land and associated habitat clearance. Erosion and Dust: Disturbance of land by removing vegetation, reshaping topography and storing and disposing of topsoil and spoil make the material vulnerable to erosion. There is also the risk of dust generation through the transport and stockpiling of material.

Transport: Storage and disposal of material requires additional transport movements by truck, with potential noise, dust, vibration and health and safety risks.

Definitions: Topsoil is the material at the top of the soil profile with high organic content, capable of supporting plant growth. Subsoil is deeper layers of soil below the topsoil.

ш.	and Management Actions	Action	Timing / Frequency	Responsibility
Ħ		ACIION	Timing / Frequency	Responsibility
C-9-1.	Design review of spoil generating activities and proposed spoil disposal sites	 The overall Project design shall be reviewed to ensure that the volume of excess spoil has been minimised, including cut and fill equalization along the access road and the maximum use of spoil for other required land forming (e.g. project sites, local community facilities, private uses), concrete aggregate (if suitable), road gravelling. The potential environmental and social impacts of proposed topsoil storage and spoil disposal shall be reviewed to select the final sites. The location of sites shall: Be located within Core Land or the Lot 1 right of way Avoid community water sources, tambu areas and other culturally significant sites. Be located on lower slope land (<15°) where possible, so that stable landforms can be created. Be located on degraded or lower value land (e.g. eroded areas, grasslands, etc) wherever possible. Avoid clearing areas of primary forest and other high value habitats (as identified in P-2 Biodiversity Management Plan) where possible. Be located at least 20 m from drainage lines or streams, and outside the normal Tina River flood zone (1:5 year flood). The layout of each disposal site (refer Annex C-9-II) shall be shown on large scale project drawings (at scale), showing the site boundary/extent of any vegetation clearance, cross-sections and slopes of the disposed spoil, site access points, layout of temporary and permanent controls to be installed (retaining walls, benching, culverts / diversion banks / other drains, sediment basins, topsoil and vegetation stockpiles, final landform contours. The plan shall detail the design of each stormwater drain and sediment basin to be installed to settle out coarse and suspended sediment, including primary and secondary treatment. 	Pre-construction	HEC Design Team HEC Project Manager HEC HSE Manager THL/OE (review)
C-9-2.	Spoil disposal site preparation	 secondary treatment. The requirements of C-3 Forest Clearance Plan and P-2 Biodiversity Management Plan shall be followed prior to and during site clearance and excavation. The spoil disposal site boundary, including truck, and machinery access point(s), retaining structures, temporary and permanent stockpiles, drains/diversion banks and sediment basin(s) shall be surveyed and clearly pegged prior to the commencement of related spoil generation. THL shall review the pegged sites and approve them if they are marked out in accordance with the approved site plans. All staff involved in spoil handling and disposal shall be walked through the pegged disposal sites and instructed on strict adherence to biodiversity requirements, environmental and social controls, and spoil handling and disposal at the site. All necessary spoil disposal site preparation activities shall be completed prior to the commencement of spoil generation, handling and disposal. This shall include the construction of any initial retaining walls, sediment basins, permanent and temporary drains/banks, as required. Vegetation clearance and topsoil stripping shall occur immediately prior to spoil disposal to minimize the period of time that the ground is exposed to erosion. Each disposal site and associated controls shall be inspected by THL prior to the use of these areas. Spoil disposal shall be permitted to commence with a Hold Point release by THL if the site area and controls are in accordance with the approved layout plans. 		HEC Construction Manager HEC HSE Manager THL/OE
C-9-3.	Beneficial reuse of topsoil and spoil	 Topsoil will be stripped and stockpiled separately on site for later reuse in rehabilitation of temporary facilities. As per the ESIA (2019) an estimated 327,900m³ of topsoil will need to be temporarily stored on the project site, requiring a storage area of approximately 10 hectares.¹ Topsoil will be stockpiled at approved spoil disposal sites. Spoil shall be used for productive purposes wherever possible, ideally close to where the spoil is generated e.g. aggregate, fill for final project landforms, community use. Productive uses of spoil shall be reviewed and approved by THL prior to use, to ensure that the use is genuine and to avoid unauthorised dumping. 	Vegetation clearance and earthworks	HEC Construction Manager THL/OE (review)

¹ The previous version of C-9 for Lot 2 and 3 estimated a volume of excavated soil at 862,614 m³, of which 87,988 m³ is topsoil. However, this number excludes spoil from the dam, powerhouse and tunnel site.

C-9-4.	Topsoil management	• All topsoil shall be stripped separately from spoil (subsoil, gravel, and other materials) and o	Earthworks	HEC Construction				
	(stripping and stockpiling)	 Topsoil stockpile areas shall be located as close as possible to where the topsoil will be use 			Manager			
	stockpining	Wherever possible, topsoil shall be handled once, from the point of generation to the point			HEC HSE Manager			
		 Topsoil stockpiles will generally not exceed 2.5 m in height in order to maintain soil biota, ex 	ed land below 10% slope).					
		• Stockpile slopes will generally be no steeper than 2:1 (H:V).						
		 Topsoil stockpiles to be retained for greater than 3 months shall be seeded with a cover cre covered with mulch within a fortnight of stockpile formation to stabilise them / minimise the 	tion and Revegetation Plan) or					
C-9-5.	Spoil handling,	Workers responsible for spoil handling and disposal shall be instructed by HEC or its nomina	ted subcontractor about the correct place	cement and compaction of spoil.	Earthworks	HEC Construction Manager		
	treatment, and disposal	for both the front face 'embankment' and the general spoil deposited behind this. Compo	the commencement of each disposal site, HEC shall complete further pilot testing using Borehole Shear Tests and shall also determine the fill material properties h the front face 'embankment' and the general spoil deposited behind this. Compaction requirements (lift heights, machinery types, number of passes) shall be shed from the pilot test and monitored via regular Scalar Penetrometer testing and density testing.					
		Excess spoil shall be disposed of strictly in accordance with the approved site plans.						
		• Wherever possible, spoil shall be handled once, from the point of generation to the point of	f disposal, avoiding temporary stockpiling	g and the related impacts.				
		 Spoil shall be placed in horizontal layers at maximum depth as designed based on spoil pro equipment. A nominal design parameter for compaction of the embankment is 18 kN/m³. 	operties (but not exceeding 30 cm) and s	suitably compacted with appropriate				
			poil shall be shaped into a stable landform as per the approved design and agreed final contours, with permanent drains, benching and retaining structures installed r long-term landform stability and to prevent erosion, as necessary. Where the landform exceeds 7 m in height, a bench shall be installed at vertical intervals of no reater than 6 m to provide slope stability.					
		 Dust generation shall be suppressed during spoil handling, transport, placement, and com and revegetation. More detail on dust suppression is provided in the P-15 Air Quality and D 						
C-9-6.	Tunnel spoil / slurry ²	• Waste rock and spoil will be re-used on site as aggregate (if suitable) or disposed of at app	Tunnelling	HEC Construction				
		 Wastewater slurry shall be treated in a dedicated treatment plant on site. Settled and trea discharged to land (ground soakage). Dewatered slurry (cake) shall be disposed of at app 		Manager				
C-9-7.	Site stabilisation / rehabilitation	 Site stabilization works (final drainage works, topsoiling, seeding, planting, mulching, etc.) s completion. If discrete areas of a disposal site are completed earlier, these areas shall be p 	During and post- construction	HEC Construction Manager HEC HSE Manager				
			final disposal site landform prior to the commencement of topsoiling and revegetation.					
		 Minimum treatment shall include spreading of topsoil, planting of a cover crop and inter-planting with native shrubs and tree species. Planting and maintenance shall be carried out in accordance with C-4 Post-construction Rehabilitation and Revegetation Management Plan (PCRRMP). HEC or its nominated subcontractor shall maintain site revegetation until the site is stabilized. This will entail monitoring the site at least every three months until a full groundcover is established, repairing areas that have eroded, and replanting areas with insufficient ground cover. 						
Monitorin	g Requirements			-		-		
#	Title	Description		Target / Performance Indicator	Timing / Frequency	Responsibility		
C-9-A.	Design review	Design review of spoil generating activities and proposed spoil disposal sites Design sign-off				HEC Design Manager THL/OE (review)		
					each site			
С-9-В.	Weekly site inspections	THL shall inspect spoil disposal activities at each active site at least once a week, accompanie non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised	bcontractor during the site inspection,	Joint Site inspections completed No FOR or NCRs issued	Weekly	HEC Construction Manager HEC HSE Manager		
		non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised	bcontractor during the site inspection, by THL.	No FOR or NCRs issued	Weekly	HEC Construction Manager HEC HSE Manager THL		
С-9-В. С-9-С.	Weekly site inspections Site sign-off	non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection.	bcontractor during the site inspection, by THL.	No FOR or NCRs issued Site is stabilized and revegetated.		HEC Construction Manager HEC HSE Manager		
		non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised Ensure that the site rehabilitation is complete and the site returned to a stable condition. The	bcontractor during the site inspection, by THL.	No FOR or NCRs issued	Weekly At completion of site	HEC Construction Manager HEC HSE Manager THL		
		non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised Ensure that the site rehabilitation is complete and the site returned to a stable condition. The Employer until Taking Over is issued. For site spoil sites this shall include:	bcontractor during the site inspection, by THL.	No FOR or NCRs issued Site is stabilized and revegetated.	Weekly At completion of site	HEC Construction Manager HEC HSE Manager THL		
C-9-C.		non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised Ensure that the site rehabilitation is complete and the site returned to a stable condition. The Employer until Taking Over is issued. For site spoil sites this shall include: • all required permanent retaining structures, drains, etc have been installed.	bcontractor during the site inspection, by THL.	No FOR or NCRs issued Site is stabilized and revegetated.	Weekly At completion of site	HEC Construction Manager HEC HSE Manager THL		
C-9-C.	Site sign-off	non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised Ensure that the site rehabilitation is complete and the site returned to a stable condition. The Employer until Taking Over is issued. For site spoil sites this shall include:	bcontractor during the site inspection, by THL.	No FOR or NCRs issued Site is stabilized and revegetated.	Weekly At completion of site	HEC Construction Manager HEC HSE Manager THL		
C-9-C.	Site sign-off	non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised Ensure that the site rehabilitation is complete and the site returned to a stable condition. The Employer until Taking Over is issued. For site spoil sites this shall include:	bcontractor during the site inspection, by THL. Works are not accepted by the	No FOR or NCRs issued Site is stabilized and revegetated. Taking Over is issued	Weekly At completion of site filling at each site	HEC Construction Manager HEC HSE Manager THL		
C-9-C. Supportin Annex	Site sign-off Documents Name	non-conformances and required corrective actions shall be verbally advised to HEC and its su then in writing within 2 days of the inspection. Spoil management corrective actions shall be completed by the subcontractor/s as advised Ensure that the site rehabilitation is complete and the site returned to a stable condition. The Employer until Taking Over is issued. For site spoil sites this shall include:	bcontractor during the site inspection, by THL. Works are not accepted by the	No FOR or NCRs issued Site is stabilized and revegetated. Taking Over is issued	Weekly At completion of site filling at each site ction activity.	HEC Construction Manager HEC HSE Manager THL		

² The generation of waste material from the tunnel will be determined by the tunnelling method (which is yet to be determined) and geology.

ANNEX C-9-I SPOIL CALCULATION OF MAIN WORKS

SPOIL AND TOPSOIL VOLUMES

Estimates from HEC dated 23 September 2022.

Location	Volume of Spoil and Topsoil production (m ³)			Embankment	Volume of	Designated	
Location	Topsoil (a)	Subsoil (b)	Total	using subsoil (m³) (c)	excess spoil (m³) (a+b-c)	Disposal Site ¹	
LOT 1	13,712	162,323	176,035	19,624	156,411	Disposal #0	
LOT 2-1	9,082	133,049	142,131	5,964	136,167	Disposal #3	
LOT 2-2	20,156	521,920	542,076	55,991	486,085	Alt 1-2, Alt 1-3	
LOT 2-3	2,155	80,288	82,443	1,563	80,888	Alt 1-2, Alt 1-3	
LOT 3-1	8,330	383,836	392,166	8,929	383,237	Disposal #4	
LOT 3-2	76	8,986	9,062		9,062	Disposal #4	
Temporary road surface (to be cut)	10,333		10,333		10,333		
Subtotal	63,845	1,290,402	1,354,246	92,071	1,262,175		
RCC Dam, Diversion, Intake	-	130,532	130,532	17,351	113,181	Alt 1-2, Alt 1-3	
Headrace Tunnel	-	98,271	98,271		98,271	Disposal #4	
Penstock, Powerhouse, Tailrace, Switchyard	TBC	83,971	83,971	24,127	59,844	Disposal #4	
Appurtenant (BP for Dam)	-	71,718	71,718		71,718	Alt 1-1	
Subtotal	TBC ²	384,492	384,492	41,478	343,014		
Total	63,845	1,674,894	1,738,738	133,549	1,605,189		

¹ Disposal sites 1-1 and 1-2 are proposed but not yet confirmed.

² Limited topsoil to be generated from dam and tunnel construction due to presence of hard rock.

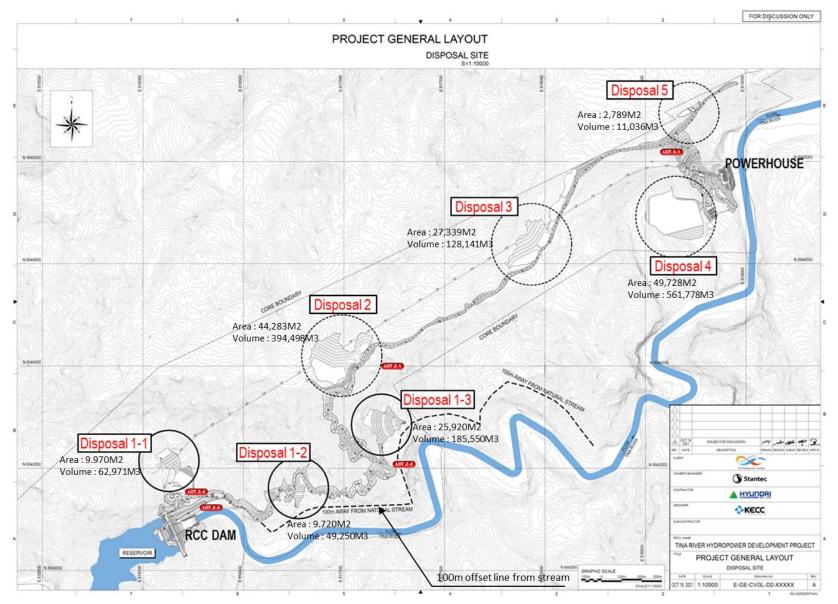
ANNEX C-9-II SITE SPOIL LAYOUT PLANS

a) OVERALL LAYOUT PLAN

b) DETAILED PLANS (REFER SEPARATE FILES)

SPOIL DISPOSAL SITE LAYOUT PLAN

Proposed spoil disposal sites for Main Works as of February 2023 (final design for sites 1-1, 1-2, 1-3 yet to be approved).



ANNEX C-9-III TOPSOIL RESOURCE SURVEY FOR ACCESS ROADS

Topsoil Resource Survey

2020. April



1. General

As commented on Management Plan like "Prior to commencing construction of the access road, HEC soil engineer to conduct soil coring and assess the depth of organic soil in the right-of-way in cleared forested areas, from Managikiki to the dam. This will determine the depth of soil stripping that is required.", HEC will review and provide the depth of organic soil, amount and disposal plan of the topsoil supposed to be organic soil to the OE and Lenders.

2. General properties of organic soil

Small quantities of dispersed organic matter can have a marked effect on plasticity and engineering properties and produce a distinctive odour and dark colour tints (dark grey, dark brown or dark bluish grey colour).

Term	Organic content (% by weight)	Typical colour
Slightly organic clay or silt	2~5	Grey
Slightly organic sand	1~3	As mineral
Organic clay or silt	5~10	Dark grey
Organic sand	3~5	Dark grey
Very organic clay or silt	>10	Black
Very organic sand	>5	Black

The following qualifying table terms may be appropriate to clarify in the field.

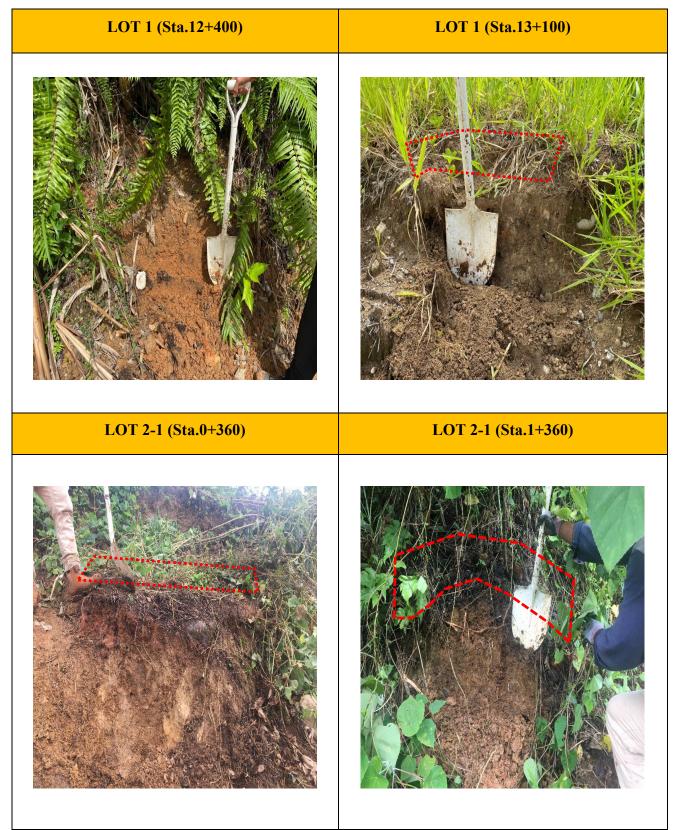
X Laboratory determinations of organic content are not essential to the description, but can be used to assist consistency of terminology if required.

- Reference: BS 5930 (Code of practice for site investigation)

[Field characteristics of soils and other materials used in earthworks]

	Casagrand Drainaga			Shrinkag e	Value as a road foundatio	Bulk density before excavation	
Sub-groups	Casagrand e group symbol	Drainage characteristic s	Potentia l frost action	or swelling propertie s	n when not subject to frost action	Moist weigh t	Submerge d weight
Clays(inorganic) of high plasticity	СН	Practically impervious	Very slight	High	Poor to very poor	1.7	0.7
Organic clays of high plasticity	ОН	Practically impervious	Very slight	High	Very poor	1.5	0.5

※ Reference: BS 6031(Code of practice for earthworks_table2_Field characteristics of soils and other materials used in earthworks) 3. Top soil photos of each access road

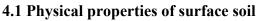


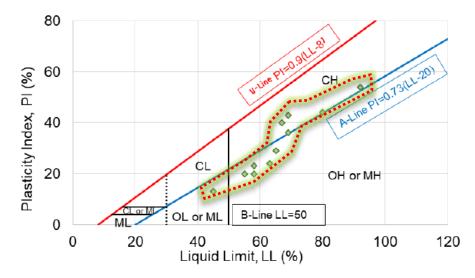


A general soil colours beneath the assumed topsoil show brown, pale and dark brown. When we compare based on the above BS 5930 table, Soils existing beneath the assumed topsoil are not the general colour of organic soils such as grey, dark grey and black, colours of which are depending on organic contents. Therefore, we could infer that the topsoil supposed to contain organic contents is presented shallow depth, as shown in photos, just in the depth between 10~30cm as seeing and comparing the length of spade (30cm).

The colour of assumed topsoils show dark brown, black and root of vegetation is extended into this depth, which comply with the colour of BS 5930 table.

4. Detailed geotechnical investigation result





[Plasticity chart for fine grained soils]

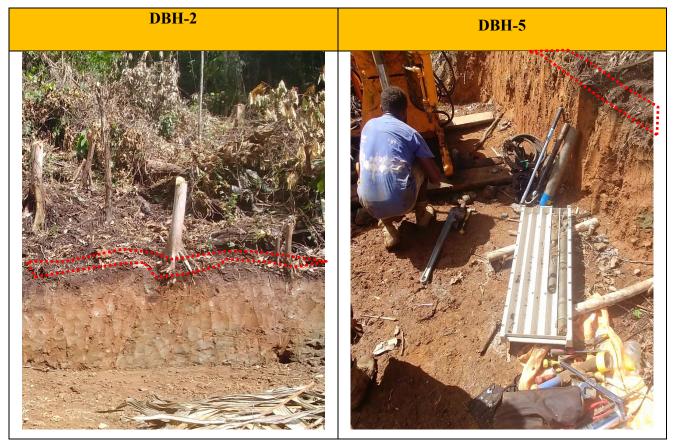
Sampl	Dept	Sample	Colour	Moisture	Specific	Moist	Liqui	Plasti
e	h	descriptio		content(%	Gravity(avg	Density(t/m3	d	с
source	(m)	n)))	limit	index
TBH-5	1.0	Clayey silt	Brownish	64.8	2.4	1.54	85	28
TBH-6	1.0	Silty	Brown-	34.4	2.7	1.88		
		gravelly	grey					
		sand						
TBH-7	1.0	Clayey silt	Brown	51.7	2.4	1.63	79	28
SBH-1	0.5	Silty sand	Pale	44.0	2.7	1.77		

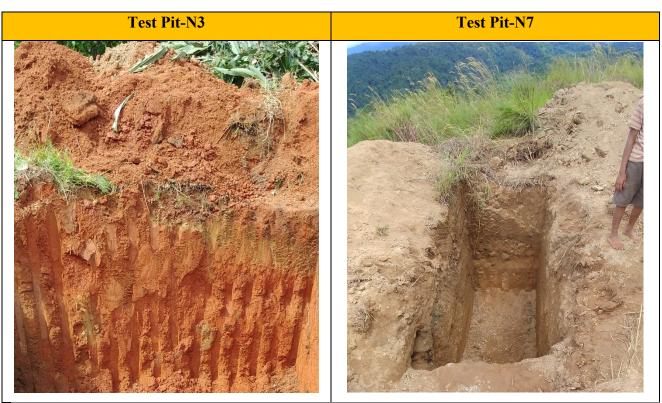
Tina River Hydropower Development Project (TRHDP)

			brown					
EBH-1	1.0	Silty sand	Grey	18.9	2.7	2.10	Non	
							plastic	
RBH-2	1.0	Gravelly	Brown	41.7	2.7	1.79	Non	
		sand	yellowish				plastic	
RBH-3	1.0	Clayey silt	Yellowis	51.2	2.4	1.63	79	27
			h					
RBH-4	1.0	Silty sand	Grey	33.4	2.7	1.89	Non	
							plastic	
Test	1.0	Sandy silt	Brown	45.9	2.4	1.66	58	20
Pit-5								
Test	1.0	Sandy silty	Pale	22.1	2.4	2.00	69	36
Pit-9		clay	brown					

※ Referred to geotechnical investigation assessment report

4.2 Photos during the investigation





DBH-2 and 5 show the topsoil depth that it is presented about 20cm thickness below surface ground and Test pit-N3 & 7 couldn't clearly clarify the top soil depth because the colours between general soil and topsoil almost show same ones.

4.3 Review

HEC analyzed the soils presented in shallow depth (<1.0m) in Right of way, soils of which are classified in various types such as clay, silt and sand.

The properties of clay and silt presented in shallow depth below 1m could be compared with the general properties of organic soil because the organic soil could usually lie in similar range with clay and silt showing high liquid limit and plastic index.

However, it could show much higher moisture content and low unit weight due to composite component differences with clay and silt

The moist densities of clay and silt under topsoil range 1.54~2.0 t/m3 (avg 1.69 t/m3), showing the general density of CH soil type as referred to BS 6031(1.7 t/m3)

5. Plan of topsoil disposal

[Amounts of grubbing and stripping of topsoil]

Lot name	Volume(m3)	Remarks
Lot 1 (B.P to Manangikiki)	47,462	Topsoil (Stripping depth : 20cm)
Lot 1 (Manangikiki to E.P)	3,683	Topsoil (Stripping depth : 20cm)
Lot 2-1	7,819	Topsoil and grubbing (Stripping depth : 20cm)
Lot 2-2	17,706	Topsoil and grubbing (Stripping depth : 20cm)
Lot 2-3	3,149	Topsoil and grubbing (Stripping depth : 20cm)
Lot 3-1	8,076	Topsoil and grubbing (Stripping depth : 20cm)
Lot 3-2	93	Topsoil and grubbing (Stripping depth : 20cm)
Total	87,988	

During construction, where possible, topsoil will be stripped and conserved for later reuse for rehabilitation of disturbed areas and road embankments.

6. Conclusion

- If the colour of top soil is getting dark like in the order of grey, dark grey, black, this means that more organic contents are contained in soil and if the density of soil lie in below 1.5t/m3, it raise the possibility of organic soil as indicated in BS 5930, 6031.
- HEC has investigated and analyzed the photos taken from every access road and geotechnical investigation results conducted in detailed design stage to clarify the depth of topsoil and grubbing.

The results are as below;

- The colours of assumed topsoil present a dark brown and pale black, showing similar colours with BS 5930 and existing 10~30cm thickness below surface ground.
- The general soils below topsoil are classified with silt, clay that show apparently a different colours and densities. The colours of these soil present pale brown, brown and densities range 1.54~2.0 t/m3 (avg 1.69 t/m3), showing the general density of CH soil type as referred to BS 6031(1.7 t/m3).
- As a result of the analysis of investigation and photos, the assumed top soil containing organic soil could be presented in the surface ground of 0.2m in R.O.W.